NHS Business Services Authority Prescription Pricing Division	**NHS Restricted** **Guidance for Endorsement in the Electronic Prescription Service**			
	Programme	NPFIT	**Document Record ID Key**	
	Sub-Prog / Project	ETP	NPFIT-ETP-EIM-0015.20	
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	Owner	Helen Kendall	Version	6.1
	Author	Pharmaceutical Services	Version Date	17 July 2008

Guidance for Endorsement in the Electronic Prescription Service

Amendment History:

Version	Date	Amendment History
0.1	28/09/2004	First draft for comment
0.2	10/02/2005	Second draft for review
0.3	16/02/2005	Third draft for review
0.4	07/03/2005	Incorporating comments from the PPA
1.0	08/04/2005	Updates following publication of the Drug Tariff on 29th March 2005.
2.0a	12/08/2005	Updated with additional guidance for dispenser endorsements and comments from the PPA
2.0b	22/08/2005	Incorporating comments from the PPA
2.0	23/08/2005	Marked as approved
2.1	11/04/2006	Clarification of pharmacist signature or initials. PPA renamed to PPD. Clarification for capture of additional information.
3.0	09/06/2006	Marked as approved following PPD amendments
3.1	03/07/2006	Removal of 'price' additional information for NCSO. Clarification to date format within additional information. More HL7 examples added to Section 5.2. Correction to AMPP/AMP definitions within Glossary of Terms.
4.0	03/07/2006	Issued for approval
4.1	08/02/2007	Assorted Flavours endorsement added. Removal of glossary of terms entries as defined elsewhere. Reformatted with new CFH template.
4.2	08/05/2007	Replaced 'ZD' with 'DNG'. Clarification to usage of 'ND' added.
4.3	17/05/2007	Removed text "if more than one pack size then AMPP" from page 7
4.4	04/07/2007	Clarification on use of 'ND' and 'AI' endorsements
5.0	31/07/2007	Marked as approved
5.1	19/12/2007	NDEC – No Dispenser Endorsement Code to be used when no other endorsement is applied Additional Information to support AI endorsement Reviewers names changed PPD renamed to NHSBSA PPD (Organisation name changed)
5.2	22/01/2008	Clarification to use of 'NDEC' endorsement.
5.3	06/02/2008	Clarification of dispensed SnoMed code required when VMP prescribed
6.0	23/05/2008	Marked as approved
6.1	17/07/08	Amendments made to the 'IP' endorsement and removal of a footnote concerning the 'AF' endorsement.

Forecast Changes:

Anticipated Change	When

Reviewers:

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Distribution:

For issue to LSP and existing system suppliers with CFH

Document Status:

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1 About this Document

1.1 Purpose

The purpose of this document is to define the endorsements required by the Prescription Pricing Division (PPD) of the NHS Business Services Authority (NHSBSA), previously known as the Prescription Pricing Authority (PPA), to aid its reimbursement and remuneration process for the Electronic Prescription Service (EPS) as part of the National Programme for Information Technology (NPfIT).

Queries with regards to endorsements should be referred to the NHSBSA PPD's Prescription Pricing Helpdesk 0845 610 1171.

1.2 Audience

This document has been written for EPS system suppliers.

1.3 Content

This document comprises this following sections / topics;

- Background
- Prescriber endorsements
- Dispenser endorsements
- Implementation of endorsement within HL7 messaging.

2 Background

2.1 Introduction

The Electronic Transmission of Prescriptions (ETP) programme has its origins in a number of Government policy initiatives stemming from ***The NHS Plan*** (7/2000). In particular, ***Pharmacy in the Future*** (9/2000) said:

'By 2004 electronic prescriptions will be routine in the community as well as hospitals. Transfer of prescription data between GPs, pharmacies and the Prescription Pricing Authority will be carried out electronically, using the NHS net, in the large majority of cases by 2008, or even earlier.'

2.2 The NHS Business Services Authority

The NHS Business Services Authority (NHSBSA) is responsible, amongst other things, for the payment of community dispensers for the drugs, medicines, appliances and services they provide under their national terms of service and for the provision of management information relating to the dispensing and supply of drugs.

3 Prescriber Endorsement Requirements

The following table provides the prescriber endorsements required by the NHSBSA PPD to aid its reimbursement and remuneration process. No additional information is required.

Source	Process/Endorsement Description	Endorsement Code	Additional Information Required	Reference
Prescriber	**Prescriptions for products recommended by the Advisory Committee on Borderline Substances** In certain conditions some foods and toilet preparations have characteristics of drugs and the Advisory Committee on Borderline Substances advises as to the circumstances in which such substances may be regarded as drugs. The Advisory Committee's recommendations are listed below. Prescriptions issued in accordance with the Committee's advice and endorsed "ACBS" will normally not be investigated.	**ACBS**	(none)	Drug Tariff Part XV
Prescriber	**Prescriptions for food replacement/food supplement products available in a variety of flavours** May be used to request that an assortment of flavours be supplied when prescribing food replacement/supplement products using the VMP.	**AF**	(none)	
Prescriber	**Contraceptive** A drug used for contraceptive purposes which is not listed in Part XVI Section 10 must be endorsed with the female symbol or equivalent by the prescriber in order not to attract a prescription charge.	**CC**	(none)	Drug Tariff Part XVI Section 10 This replaces the female symbol.
Prescriber	**Selected List Scheme** Drugs to be prescribed in certain circumstances under the NHS Pharmaceutical Services. The prescriber must endorse the prescription with the reference "SLS".	**SLS**	(none)	Drug Tariff Part XVIIIB

4 Dispenser Endorsement Requirements

Dispenser endorsements required by the NHSBSA PPD are based on the dispenser submitting dispensed medication item information to the NHSBSA using the NHS dm+d SNOMED concepts in the following circumstances.

Prescribed **NHS dm+d SNOMED code**	**Dispensed** **NHS dm+d SNOMED code required**
VMP (Part VIII generic medicinal product)	VMPP or 'nothing'
VMP (Non-Part VIII generic medicinal product)	AMPP or AMP
AMP (Proprietary/Branded medicinal product)	AMPP or 'nothing'
VMP (Part IX generic medical device) Product listed only generically in the Drug Tariff Part IX with no actual products listed e.g. Absorbent Lint BPC	VMPP or 'nothing'
AMP (Part IX Proprietary/Branded medical device)	AMPP, AMP or 'nothing'
VMP (Part IX generic medical device –where the VMP is an official title i.e. B.P. or Drug Tariff Specification) Proprietary/branded medical devices are listed in the in Drug Tariff Part IX	AMPP or AMP VMPP or 'nothing' only if the VMP has a Drug Tariff price

Note. When the dispenser submits 'nothing' the EPS Dispense Notification message must be defaulted to the prescribed dm+d SNOMED code and description.

The following table provides the dispenser endorsements required by the PPD to aid its reimbursement and remuneration process.

Source	Process/Endorsement Description	Endorsement Code	Additional Information Required	Reference
Dispenser	**Additional item has been dispensed when the prescribed product does not contain an administration mechanism.** E.g. Part IXA Applicator Type 1 or 2, Part IXA Dropper, and also Water for Injection (if a dry powder injection is prescribed, water for injection can be supplied even if it is not specifically requested by the prescriber on the prescription).	**AI**	To support this endorsement also required:[1] - VMP,VMPP, AMP or AMPP SNOMED CT identifier - VMP or AMP name - Supplied quantity - Unit of measure	Drug Tariff Part IXA for applicator and dropper Water for Injection
Dispenser	**Broken Bulk** Should only be used if the quantity ordered cannot be readily disposed of during the next six months.	**BB**	(none)	Drug Tariff Part II, Clause 11 Note: Must NOT be a default endorsement, must be a requirement for user input i.e. after dispensing.
Dispenser	**Discount not given** For specials and other unlicensed medicines sourced from within the UK on a named-patient basis, other than those containing Controlled Drugs in schedules 1, 2 and 3 of the Misuse of Drugs Regulations 2001, where discount has not been obtained from the supplier, contractors need to endorse 'DNG' for 'discount not given' to avoid discount being removed. If discount was obtained by the contractor no endorsement should be made.	**DNG**	(none)	Drug Tariff Part II, Clause 6A and Drugs for which discount is not deducted List

[1] VMP or VMPP to be endorsed for Drug Tariff Part IXA dropper and Drug Tariff Part VIII Water for Injection. These are listed generically in the relevant sections of Drug Tariff and there is no requirement to specify AMP or AMPP. AMP or AMPP to be endorsed for Drug Tariff Part IXA Applicators – vaginal. These are listed by brand in the Drug Tariff and the AMP or AMPP must be specified.

Source	Process/Endorsement Description	Endorsement Code	Additional Information Required	Reference
Dispenser	**Item not dispensed** **Note**. Prescribed items for which no dispensing activity has been recorded have the default item status code '0002'. When recording endorsements electronically within the Electronic Prescription Service the item status code '0002' is used instead of an 'ND' endorsement. The use of 'ND' is for paper prescription endorsements only.		(none)	(none)
Dispenser	**Limited Stability** Where a preparation which required the addition of a vehicle/diluent by the pharmacy contractor results in a liquid of stability of less than 14 days, and for pharmaceutical reasons necessitates supply in more than one container, the prescription form should be endorsed with the number of extra containers supplied.	**LS**	number of extra containers supplied E.g. LS3 means= 4 quantities supplied in total to meet the prescription.	Drug Tariff Part IIIA 2D Note: Only used for a liquid of stability of less than 14 days.
Dispenser	**Measured & fitted** Elastic hosiery/trusses requiring measurement	**MF**	(none)	Drug Tariff Part IIIA 2B(a)/(b)
Dispenser	**No Cheaper Stock Obtainable** Where the DH have agreed that a preparation in DT Part VIII is not available at the appropriate price. Generic in short supply Contractors shall not so endorse unless they have made all reasonable efforts to obtain the product at the appropriate price but have not succeeded.	**NCSO**	To support this endorsement also required: - Supplier,[2] manufacturer or brand name - Pack size - Pharmacist initials[4] - Date of dispensing (format "yyyymmdd")	Drug Tariff Part II Clause 9C

[2] There is a recommendation to also provide price if supplier or manufacturer is endorsed using the Price endorsement
[3] The endorsement shall be initialled and dated by or on behalf of the contractor as outlined in Drug Tariff Part II, Clause 9C

Source	Process/Endorsement Description	Endorsement Code	Additional Information Required	Reference
Dispenser	**Out of pocket expenses** Expenses incurred in exceptional circumstances when **obtaining** an item not included in Drug Tariff Part VIII Category A and M , Part IXA and Part IXR.	**XP**	<Full particulars of claim> <Total value £p> PPD systems calculate using pence	Drug Tariff Part II Clause 12. E.g. post & packing £1.20.Please note there is not a definitive list of circumstances when out of pocket expenses can be claimed. The FP34C submission document should include details of the number of items and the total amount claimed.
Dispenser	**Prescriber Contacted** Missing drug strength and/or quantity as specified by prescriber to be endorsed with initials and date.	**PC**	To support this endorsement also required: - Pharmacist initials - Date of dispensing (format "yyyymmdd")	FPN 114 See BNF p2. Included as a contingency, this endorsement is not required if the prescription contains the NHS dm+d description of the prescribed product.
Dispenser	**Prescriber Not Contacted** Missing drug strength and/or quantity (max 5 days) to be endorsed with initials & date	**PNC**	To support this endorsement also required: - Pharmacist initials - Date of dispensing (format "yyyymmdd")	FPN 114 See BNF p2 Included as a contingency, this endorsement is not required if the prescription contains the NHS dm+d description of the prescribed product.
Dispenser	**Price** For less common products or 'special' items. Drugs - if generic is less common and not included in Part VIII (see Clause 9B) or if proprietary product is less common. This should be the total net price (before discount and excluding VAT) of the dispensed item,. Appliances (only where specifically required in Drug Tariff Part IX) – Invoice price – this is the price chargeable for the appliance to contractor by the manufacturer, wholesaler or supplier.	**IP**	<Price £p> Price endorsed should be the 'total net price before discount and ex-VAT'	Drug Tariff Part II Clause 9B And Part IXA

Source	Process/Endorsement Description	Endorsement Code	Additional Information Required	Reference
Dispenser	**Rebate claimed** If alcohol (96%), rectified spirit (ethanol 90%) or any other dilute ethanol is prescribed as an ingredient of a medicine for internal use, the price of the duty paid alcohol will be paid. If the duty has been reclaimed, endorse the prescription 'rebate claimed' and the price will be calculated on that of duty-free alcohol.	**RC**	(none)	Drug Tariff Part VIII Note 7.2
Dispenser/ System	**No Dispenser Endorsement Code** **Note**. This endorsement does not apply to paper-based prescription endorsing. Mandatory on all dispensed items to which none of the endorsements above have been applied. Confirms that absence of other endorsements is intentional.	**NDEC**	(none)	

4.1 Additional Notes on Dispenser Endorsements

4.1.1 Note 1

When using the EPS and receiving prescriptions electronically, the 'Prescription Release Response' HL7 message will always contain the prescribed medication items encoded. Thus the drug strength and quantity will always be contained within the electronic message so can be used by the dispensing system.

Therefore the following endorsements defined in the previous table should not be applicable when using the EPS.

Prescriber Contacted

Prescriber Not Contacted

These endorsements have been included for completeness as they will apply to the reimbursement outside the EPS.

4.1.2 Note 2

The supplied line item quantity is contained within the 'Dispense Notification' message. The quantity field will always be populated. However dispensing contractors should verify the quantity where a quantity is supplied at variance with that ordered.

4.1.3 Note 3

Where endorsements with multiple statements within additional information are required they should be separated using commas.

5 Implementation of Endorsements within HL7 Messages

The Message Implementation Manual (MiM) defines the HL7 messages for the EPS. All relevant messages that contain endorsement blocks will be within the Medications Management domain.

Depending on whether the endorsement is a prescriber or dispenser endorsement, the relevant HL7 entity will be either "PrescribingEndorsement" "DispensingEndorsement".

5.1 Prescriber Endorsement Example

The example shown below is for the prescriber endorsement of "Selected List Scheme" where no additional information is required.

```
<pertinentPrescriberEndorsement classCode="OBS" moodCode="EVN">
<code codeSystem="2.16.840.1.113883.2.1.3.2.4.17.30" code="PE"
displayName="Prescriber Endorsement"/>
<value codeSystem="2.16.840.1.113883.2.1.3.2.4.16.32" code="SLS"
displayName="Selected List Scheme"/>
</pertinentLineItemQuantity>
```

5.2 Dispenser Endorsement Examples

Three examples are shown below.

Example one is for the dispenser endorsement of “Limited Stability” where 4 quantities were supplied in total to meet the prescription, therefore the additional quantities captured within the endorsement is 3.

```
<pertinentDispensingEndorsement classCode="OBS" moodCode="EVN">
<code codeSystem="2.16.840.1.113883.2.1.3.2.4.17.30" code="DE"
displayName="Dispenser Endorsement"/>
<text>LS3</text>
<value codeSystem="2.16.840.1.113883.2.1.3.2.4.16.29" code="LS" displayName="Limited
Stability"/>
</pertinentLineItemQuantity>
```

Example two is for the dispenser endorsement of “No Cheaper Stock Obtainable” where a 56 pack of “Orudis 100mg capsules” is declared by the dispenser with initial “RJG”, date 21st June 2006.

```
<pertinentDispensingEndorsement classCode="OBS" moodCode="EVN">
<code codeSystem="2.16.840.1.113883.2.1.3.2.4.17.30" code="DE"
displayName="Dispenser Endorsement"/>
<text>Orudis 100mg capsules,56,RJG,20060621</text>
<value codeSystem="2.16.840.1.113883.2.1.3.2.4.16.29" code="NCSO" displayName="No
Cheaper Stock Obtainable "/>
</pertinentLineItemQuantity>
```

Example three is for the dispenser endorsement of “Price” where a price of £1.82 is declared. Note the inclusion of the “£” character and the expression of pounds and pence.

```
<pertinentDispensingEndorsement classCode="OBS" moodCode="EVN">
<code codeSystem="2.16.840.1.113883.2.1.3.2.4.17.30" code="DE"
displayName="Dispenser Endorsement"/>
<text>£1.82</text>
<value codeSystem="2.16.840.1.113883.2.1.3.2.4.16.29" code="IP" displayName="Price"/>
</pertinentLineItemQuantity>
```

Example four is for the dispenser endorsement of “Additional Item” where Ortho-Creme has been prescribed and a vaginal applicator has been supplied with the prescribed item.

```
<pertinentDispensingEndorsement classCode="OBS" moodCode="EVN">
<code codeSystem="2.16.840.1.113883.2.1.3.2.4.17.30" code="DE"
displayName="Dispenser Endorsement"/>
<text>3036611000001109,Ortho vaginal applicator type 1,1,applicator</text>
<value codeSystem="2.16.840.1.113883.2.1.3.2.4.16.29" code="AI"
displayName="Additional Item"/>
</pertinentLineItemQuantity>
```